

Europäisches **Patentamt** 

European Pat nt Office

Office européen d s brevets

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application conformes à la version described on the following initialement déposée de page, as originally filed.

Les documents fixés à cette attestation sont initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet nº

02025300.1

Der Präsident des Europäischen Patentamts; Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets o.q

R C van Dijk

DEN HAAG, DEN THE HAGUE, LA HAYE, LE

22/11/02

EPA/EPO/OEB Form 1014 - 02.91



Eur päisches **Patentamt** 

European **Patent Office**  Office europé n des brevets

# Blatt 2 der Bescheinigung Sheet 2 of the certificate Page 2 de l'attestation

Anmeldung Nr.: Application no.: Demande n\*:

02025300.1

Anmeldetag: Date of filing: Date de dépôt:

13/11/02

Anmelder: Applicant(s): Demandeur(s):

Agilent Technologies Inc.@a Delaware Corporation

Palo Alto, CA 94306

UNITED STATES OF AMERICA

Bezeichnung der Erlindung: Title of the invention: Titre de l'invention:

Optical parameter measuring with temperature assignment

In Anspruch genommene Prioriät(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat

Tag: Date: Date:

Aktenzeichen:

Fila no. Numéro da dépôt:

Internationale Patentklassifikation: International Patent classification: Classification internationale des brevets:

Am Anmeldetag benannte Vertragstaaten: Contracting states designated at date of filing: Etats contractants désignés lors du depôt:

AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/TR

Bemerkungen: Remarks: Remarques:

#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

## CORRECTED VERSION

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 25 May 2001 (25.05.2001)

PCT

(10) International Publication Number WO 01/036916 A3

(51) International Patent Classification7:

(21) International Application Number: PCT/US00/29968

(22) International Filing Date: 31 October 2000 (31.10.2000)

(25) Filing Language:

English

G01K 11/12

(26) Publication Language:

English

(30) Priority Data:

09/442,413

18 November 1999 (18.11.1999) US

(71) Applicant: SENSARRAY CORPORATION [US/US]; 47451 Fremont Boulevard, Fremont, CA 94538-6504 (US).

(72) Inventors: RENKEN, Wayne; 5928 Kyburz Place, San Jose, CA 95120 (US). SUN, Mei, H.; 1059 Eastwood Drive, Los Altos, CA 94024 (US). GINWALLA, Arwa; Apartment 14, 1090 Reed Avenue, Sunnyvale, CA 94086 (US).

(74) Agents: PARSONS, Gerald, P. et al.; Skjerven Morrill MacPherson LLP, Suite 700, 25 Metro Drive, San Jose, CA 95110 (US).

(81) Designated States (national): JP, KR.

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

Published:

with international search report

(88) Date of publication of the international search report: 22 November 2001

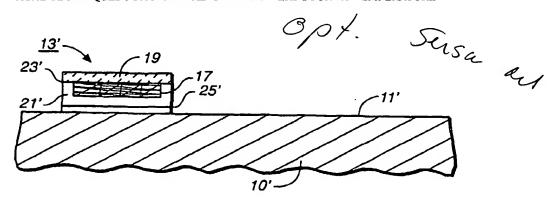
(48) Date of publication of this corrected version:

15 August 2002

(15) Information about Correction: see PCT Gazette No. 33/2002 of 15 August 2002, Section

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: OPTICAL TECHNIQUES FOR MEASURING PARAMETERS SUCH AS TEMPERATURE



(57) Abstract: A sensor of a parameter such as temperature includes an indicator encapsulated within a rigid enclosure, wherein the sensor has a characteristic that varies with the parameter that is detectable upon illumination with electromagnetic radiation through a window of the enclosure that is transparent to the radiation. In a specific example, the indicator changes an optical characteristic such as its color as a function of its temperature, and may be of an irreversible type in order to indicate the peak temperature reached. The sensor may include a pattern of such indicators that have different peak temperatures to which they respond, so that the sensor gives a unique visual pattern at each temperature within its measurement range.

01/036916 A3



10

15

20





1

# OPTICAL TECHNIQUES FOR MEASURING PARAMETERS SUCH AS TEMPERATURE

#### **BACKGROUND OF THE INVENTION**

This invention relates generally to sensors of parameters, particularly to a class of sensors that from which values of the measured parameters are communicated by a radiation link, without the need for wires or other physical link to the sensors.

Applications of sensors to measure various physical, electrical and chemical parameters are numerous. Each such sensor is, to the extent possible, adapted to optimally perform in a specific application or range of applications to measure one or more parameters. This includes making a sensor of a particular parameter as insensitive as practical to variations of other parameters in the environment in which the sensor is placed. This also includes making the sensor of a configuration and set of materials to minimize any effect on the environment in which it is placed that could alter the parameter being measured. Further, a method of communicating a signal related to the measured parameter between the sensor and a measuring device is also chosen to be consistent with the application and the environment.

One such sensor application measures a parameter, such as temperature, on a surface of an object. One specific class of surface temperature measuring devices includes indicators that change in a visually perceptive manner as a function of temperature. Such sensors are attached to the surface being measured and the temperature dependent visual indications are optically observed.

Printed:08-07-2003 W0 01/036916

5

10

15

20

25

30

Cited Doc: WO 0136916A3 IA

Cited in: 02025300

2

In one class of such sensors, an array of a plurality of indicators that individually change color at different temperature thresholds is included, thus allowing the temperature of the surface to be observed by noting which of the indicators changed color and which did not. This type of sensor most commonly uses indicators that are not reversible, thereby to measure a peak temperature reached by the surface during a measurement. The peak temperature indicators are made from a powder material having a sharp melting point that is painted or printed onto a background of a dark or other contrasting color. When an indicator is raised to a threshold temperature of its melting point, the normally reflective powder, usually white, melts and is absorbed by the background material. The color of an indicator whose threshold melting temperature has been exceeded changes from white to dark. This type of peak temperature sensor is available from several manufacturers in the form of labels that are attached to a surface whose temperature is to be measured. The manufacturers include Wahl Instruments, Inc. of Culver City, California, Tempil, Inc. of South Plainfield, New Jersey, and Reatec AG of Dübendorf, Switzerland. Another type of available indicator in the same form changes its visual state when its temperature, integrated over a period of time (time-temperature integral), exceeds a designated threshold.

Such a label includes a number of indicators that are each formed of a quantity of material, sealed by a transparent plastic layer, that changes color at a different temperature in a range of temperature. The threshold temperatures are usually marked on the label adjacent the indicators. The temperature of the surface is determined by observing the indicator with the highest temperature marking that changes its color. The surface temperature is thus in between the threshold temperature of that indicator and that of an indicator having the next higher threshold temperature that remains with its color unchanged.

One application of these surface temperature measuring labels is to measure the temperature of semiconductor wafers during processing. One or more labels are attached to a surface of a semiconductor wafer or other conductive substrate prior to being placed into a processing chamber where it is cycled through



10

15

20

25

30





3

a range of temperatures with which semiconductor wafers are normally processed therein. After being cycled through that temperature range, the substrate is removed from the processing chamber and the temperature of the wafer is determined by observing by the label(s), a peak temperature if their indicators are the non-reversible type.

#### **SUMMARY OF THE INVENTION**

A sensor of one or more parameters including, but not limited to, temperature, includes at least one indicator encapsulated within a rigid enclosure in order to protect the indicator from pressure, particles and other elements of the environment in which the sensor is to be used. The sensor is made for immersion in the environment in which the parameter is to be measured, made for attachment to a surface to measure the parameter, or one or more sensors are formed at different locations across a surface as an integral part of it. If it is temperature that is being sensed, the enclosure is thermally conductive in order to minimize any vertical and lateral temperature gradients across the sensor during temperature measurement. The enclosure is made to allow penetration therethrough by electromagnetic radiation between the indicator and an observer or measuring instrument positioned a distance from the sensor. If the indicator change due to the changing parameter is visual, at least an area of the sensor enclosure is made transparent to visible electromagnetic radiation.

In a specific form of a temperature sensor, the sensor is characterized by an observable passive optical property changing as a function of its temperature. A plurality of indicators of specific temperatures, such provided by adhering the above-described commercially available labels within the enclosure or by painting the indicator material on an inside of the enclosure, may be utilized. If these indicators are positioned in a plurality of compartments formed between a bottom substrate and a cover sealed thereto that together form the enclosure, the temperature gradient across the enclosure between the substrate and cover is minimized, which improves the accuracy of the measurements. The window of



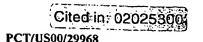
10

15

20

25

30



4

such a two part enclosure is typically made to be optically transparent in order to allow the indicators to be seen through the cover.

An important use of a temperature sensor in one of the forms summarized above is in the measurement of the temperature to which semiconductor wafers are subjected in integrated circuit processing equipment. It is further often desired to measure the temperature distribution across a wafer within a processing chamber of such equipment. A semiconductor wafer or other similarly thermally conductive substrate that has a number of the sensors positioned at various locations across one of its surfaces may be positioned within the processing chamber while the equipment is operated through at least a portion of a processing cycle where the temperature of the wafer is important. The sensor(s) on the substrate may be monitored during the processing cycle, if a window exists into the chamber, or a peak temperature that each of the sensors reaches may be observed upon removal of the test substrate from the chamber after the processing is complete. Indicators of the amount of time that a sensor was at elevated temperatures (time-temperature integrals) may be used instead of, or in addition to. the peak temperature indicators. This allows the equipment to be adjusted if a desired temperature is not reached, exceeded or maintained, or in order to modify the temperature distribution across the substrate. The rigid structure of the sensor enclosure allows temperature to be measured in processing chambers that reach a very low pressure and/or that utilize a plasma that impacts the sensor enclosure with high energy ions, with minimal effect on the sensor indicators, thereby improving the accuracy of the temperature measurement in such an environment.

According to another aspect of the present invention, an optical reader of a sensor having an array of binary parameter indicators with different thresholds, such as the peak and/or time-integral temperature indicators described above, compares an imaged parameter dependent indicator pattern with a library of patterns in order to automatically determine and display the sensor peak temperature and/or duration. In the case of several such sensors distributed across a surface to measure its thermal distribution, for example, the temperatures and/or temperature





10

15

20





5

durations of the various locations of the sensors are displayed on an image of the surface. Other types of displays, such as a contour thermal map, may alternatively be provided.

Other objects, features and advantages of the various aspects of the present invention are included in the following description of several embodiments thereof, which description should be taken in conjunction with the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows in plan view two sensors attached to two different positions of a surface;

Figure 2 is a cross-sectional view of Figure 1, taken at section I-I thereof, that shows the sensor mounted on the surface;

Figure 3 is a cross-sectional view of Figure 1, taken at section I-I thereof, that shows the sensor built into the surface;

Figure 4 is a plan view of a disc with many sensors attached across a surface of it;

Figure 5 schematically illustrates a plasma processing machine vacuum chamber in which the disc of Figure 4 with its sensors is positioned;

Figure 6 is a front view of a specific embodiment of a sensor;

Figure 7 is a cross-sectional view of the sensor of Figure 6, taken at section II-II thereof;

Figure 8 is a cross-sectional view of the sensor of Figure 6, taken at section III-III thereof;

Figures 9 and 10 show respective disks having sensors of different shapes positioned across a surface thereof;

Figure 11 illustrates different readings from two of the sensors of the type of Figures 6-8 when utilizing a particular type of sensor indicator;

Figure 12 is a schematic block diagram of a system for reading the sensors of Figure 11; and



10

15

20

25

30

6

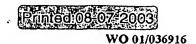
Figures 13 and 14 show different types of displays of sensor readings that can be given by the system of Figure 12.

#### DESCRIPTION OF EMBODIMENTS OF THE INVENTION

A specific implementation incorporating several aspects of the present invention is generally illustrated in the views of Figures 1-3, in two alternative embodiments of a sensor for measuring a parameter of a surface 11 of a solid object 10, or of the environment in which the object surface is positioned. One embodiment 13' of a sensor is illustrated in the cross-sectional view of Figure 2, and the other embodiment 13" is shown in Figure 3. However, as shown by Figure 1, these two embodiments appear to be the same in a plan view of the surface 11, so are indicated by a generic reference number 13. A second sensor 15 having one of the same two alternative structures is positioned on the surface 11 a distance removed from the sensor 13.

In each of the sensors 13' (Figure 2) and 13" (Figure 3), a sensing element or indicator 17 is encapsulated by a rigid enclosure. A cover 19 seals a compartment containing the parameter sensing element. That compartment is formed in the sensor 13' (Figure 2) by a base 21' with the cover attached to it by an adhesive layer 23'. The sensor 13' is attached to the surface 11' by a layer 25' of adhesive. The compartment of the sensor 13" (Figure 3) that contains the sensing element 17, on the other hand, is provided by a cavity formed in the surface 11" and the cover 19 attached directly to the surface 11" around the cavity by an adhesive layer 23". The second sensor 13 is in the same form, with a quantity of sensing element 27 and a cover 29. Additional such sensors can be distributed across the surface 11 in order to obtain multiple measurements of a parameter of interest across the surface 11.

The sensing element 17 is chosen to have a detectable characteristic which changes in a known way as a function of a parameter being measured. The example that is primarily described herein is that of measuring temperature but other parameters can alternatively be measured by the sensor structures described. The

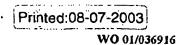






changing characteristic should also be detectable in a convenient manner, preferably for most applications without having to connect wires, optical fibers or other physical media to the sensor. Wireless transmission by a parameter related signal in the radio frequency, infra-red or some other portion of the electromagnetic radiation spectrum is certainly a possibility. It is usually most convenient when the sensing element itself provides the parameter related radiation signal, rather than using another type of sensor whose signal is converted to the radiation signal provided by the sensor. An example is a sensing element that emits radiation having a detectable characteristic related to the parameter being measured, such as a luminescent material, but it is usually simpler and more convenient to employ a sensing element having a detectable passive change. A sensing element 17 that changes some observable optical characteristic in an amount related to the measured parameter, such as color, refractive index, reflectivity and the like, is conveniently employed. The radiation signal provided by the sensing element 17 is then radiation that is reflected from it or transmitted through it.

A specific example of such a sensing element for measuring temperature is that described previously which has a sharp melting point that causes its color to change from one to another. Another example is a thermal chromic material which changes color over a continuum of colors as a function of temperature. A further example is a spring or beam that is held with a temperature sensitive adhesive that releases the spring to visibly deflect upon a predefined temperature being reached. Another sensor element example uses two or more reactive chemicals separated by a temperature sensitive membrane, with the membrane allowing the two chemicals to mix at a certain temperature, thereby to cause a change to occur that can be recognized visually or through some chemical or physical analysis. Yet a further example is a sensor that employs printed electrically conductive traces that expand or melt under temperature changes in a manner to cause a short in an electrical circuit provided as part of the sensor. For particular applications, such temperature sensors that measure peak temperature are conveniently combined with those which measure a time-temperature integral.



10

15

20

25

30

Cited Doc: WO 10136916A3 IA

Cited in: 02025300 PCT/US00/29968

8

In cases where a radiation signal is observed from the sensing element 17 as an indication of the parameter being measured, some part of the sensor enclosure is made to be transparent to that radiation. In the case of visible or near visible radiation, the cover 19 of the sensors of Figures 1-3 is conveniently made to be of an optically transparent material. In the sensor embodiment 13' of Figure 2, the base 21' may also be made of an optically transparent material but it is not necessary to do so. In both of the sensor embodiments 13' and 13", the respective objects 10' and 10" will usually be opaque but can also be transparent to electromagnetic radiation in the visible range.

The sensor enclosures are also made to withstand any adverse conditions in an environment in which the sensors may be used. Depending upon the particular sensing element 17, it may be desirable to protect that element from particles that can, if allowed to impact it, affect the accuracy with which it measures the parameter of interest. Protection from ions in a plasma in which a sensor is positioned is a specific example. Since some sensor materials utilized to measurer a parameter other than pressure are nonetheless sensitive to pressure, protection against the effects of a changing ambient pressure is also often desired. These protections are provided the sensing element 17 when its enclosure is made of a rigid material and sealed the compartment containing the sensing element 17 from outside of the sensor. If temperature is a parameter being measured, the entire enclosure is preferably made from materials having a high degree of thermal conductivity in order to avoid thermal gradients developing across the sensor that can distort a temperature reading from the sensing element 17.

In order to better explain various aspects of the present invention, an example using a plurality of such sensors 31-39 positioned across a surface of a substrate 41 for measuring thermal characteristics is given with respect to Figures 4 and 5. The substrate 41 preferably has the same size as production device wafers being processed by processing equipment 43. The substrate 41 can be a blank semiconductor wafer or a substrate of other material that has similar thermal properties to those of the semiconductor wafer. The individual sensors 31-39 may







be attached to the surface of the substrate 41 as the sensor 13' (Figure 2), or may be built into the substrate surface in the manner of the sensor 13" (Figure 3). The processing equipment 43 illustrated in Figure 5 is of a type that generates a plasma within a vacuum chamber 45 to either etch material from one or more wafers at one time, or to deposit material on one or more wafers at a time. In this and other types of semiconductor wafer processing equipment, the temperature of the wafers during processing is quite important, as is—any variation of temperature across the individual wafers. Thus, the sensors 31-39 are distributed across a surface of the substrate 41 in a pattern that provides representative temperature readings across the entire substrate surface.

The chamber 45 of the example processing machine of Figure 5 includes parallel electrodes 47 and 49 that are driven by a radio frequency power source 51. A vacuum pump 53 maintains a very low pressure within the chamber 45 during processing. The bottom plate 49 is usually cooled, and this is accomplished by circulating a liquid in a closed path by a pump 57 through a refrigeration source and through the bottom reactor plate 49. A single wafer is processed at a time, and is inserted into and removed from the chamber 45 through a vacuum locked opening 61. The wafer being processed rests on the bottom plate 49. Also, a helium gas stream is often introduced between the substrate 41 and the plate 49 for additional cooling of the substrate. The same position is chosen for the test substrate 41 in Figure 5. A reactive gas is introduced into the chamber 45 from a source 63, and directed against the wafer being processed. A plasma is generated between the two plates 41 and 47.

In the course of testing the processing equipment, the test substrate 41, with its plurality of temperature sensors, is subjected to at least the components of a normal process that affect the temperature of a wafer therein. After such a process is completed, the test substrate 41 is removed from the chamber 43 and the temperature information provided by its sensors 31-39 is obtained. Since no wires, optical fibers or other connection needs to be made with the test substrate while it is in the chamber 45, the substrate 41 is simply inserted into and removed from the

Printed:08-07-2003 WO 01/036916

5

10

15

20

25

30

Cited Doc: WO 0136916A3 IA

Cited in: 02025300

10

processing chamber 45 in the same manner as wafers that are processed. This is usually by an automatic mechanism. The chamber need not be opened to the atmosphere to insert or remove the test substrate, thus avoiding the long process of pumping down the chamber that is normally required each time a test wafer with leads attached is moved into or out of such a chamber. Although the chamber shown in Figure 5 is of a type that processes one wafer at a time, other processing chambers handle multiple wafers at a time, typically inserted and removed in a wafer boat. The test substrate of Figure 4 is similarly inserted into and removed from this type of chamber in the same manner as the wafers being processed.

Because of the significant cost of shutting down processing equipment when a test wafer with leads is used, a less accurate test wafer is commonly used where temperature sensing labels of the type previously discussed in the Background are adhered directly to one surface of the test wafer at locations spaced apart across it. Such commercially available labels have their indicators, formed of quantities of sharp melting point materials, formed in a row or in a two-dimensional pattern. An optically transparent plastic tape is normally applied over the individual labels and adhered to them and to portions of the substrate surrounding them. Such a test wafer is then inserted into a processing chamber, in the same manner as the test substrate 41 described with respect to Figure 5, with the peak temperatures observed from the individual labels after the wafer is removed from the processing chamber.

It has been found that these label sensors can be very inaccurate when used to test or calibrate integrated circuit processing equipment, particularly the type illustrated in Figure 5. It is believed the inaccuracy is the result of two primary factors. One factor is the existence of very small air bubbles trapped under the label where it is attached by pressure sensitive adhesive to the wafer or other substrate before being inserted into the processing chamber. When inserted into a chamber that operates at a very low pressure, these air bubbles enlarge and separate at least a portion of the label from the substrate, thereby interrupting a desired good thermal coupling between the substrate surface and the temperature indicators of the



10

15

20

25





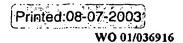
11

labels. Another factor is the absorption of thermal energy by the labels of ions that strike the labels during a test within a plasma. This causes the labels to be heated directly by the impinging ions which is unrelated to the substrate surface temperature that is desired to be accurately measured.

These disadvantages are minimized if the label is enclosed in a compartment as part of a sensor of the type previously described with respect to Figures 1-3. According to a very specific embodiment of the present invention, such an improved sensor is illustrated in detail in Figures 6-8 as one of many that are distributed across a surface 67 of a test substrate 69. A plurality of parallel channels 71-76 are formed in the surface 67, leaving walls separating the channels that extend from their bottom surfaces to the original surface of the substrate. Strips of the temperature sensing labels are adhered to the bottoms of the channels. Although the channels in which the temperature indicators are placed are shown in Figures 6-8 to be linear and parallel with each other, they can be circular, a single channel in a spiral shape, formed of a number of smaller indentations in a linear or circular pattern, or other convenient shape.

The temperature thresholds of the individual indicators, which commonly melt at a temperature within one degree Celsius of a designated threshold, can be selected with 2 to 3 degree steps, for example. This is indicated in Figure 6, where a threshold temperature of each of 38 indicators is indicated, as an example, providing a measurement range of 86-160 degrees Celsius with a resolution of two degrees. This is quite an adequate resolution for testing or calibrating semiconductor processing equipment, although the specific temperature range depends upon the application. When a large temperature range is desired, large steps between indicators can be chosen in order to maintain a small sensor size. When it is desired to be able to visually read the temperature of such a sensor, these temperature thresholds are marked on the strips adjacent their respective indicators.

The position of the label within the substrate channel 73, as best shown in Figure 8, will now be described as exemplary. A supporting strip 81 has



10

15

20

25

Cited Doc: WO 0136916A3 IA

Cited in 02025300

12

a pressure sensitive adhesive layer 83 on one side that provides attachment to the bottom of the channel. A number of temperature indicators with different temperature thresholds are carried by another side of the supporting strip 81. The strip 81 is made to be black for indicators that are white before melting but may be some other color that contrasts with the color of the indicators before melting. An optically transparent and rigid cover 85 is attached to the substrate surface 67 in areas adjacent the channels, including tops of the walls separating them, by an appropriate adhesive layer 87. Sapphire is an appropriate transparent material for the cover 85 because it is rigid and has a high degree of thermal conductivity. Since the substrate 69 also has a high degree of thermal conductivity, any vertical gradient of temperature that could otherwise be imparted by a non-uniform environment is minimized. The cover 85 should also be chemically inert.

The channels 71-76 are preferably made deep enough to leave a space 89 between a top of the indicators and an underside of the cover 85, in order to assure that the indicators are not subjected to pressure which can change their melting temperature thresholds. Also, the space prevents coating of the inner surface of the cover by liquid indicator material. Positioning the temperature indicators in such channels or other recesses of the substrate provides very good thermal communication between the substrate and the indicators. Further, by sealing the channels with the cover 85, the introduction of contaminants from the sensors into the processing chamber is avoided.

Many variations of the specific construction of the sensor of Figures 6-8 are possible. For example, the meltable indicator material may be painted onto the bottom of the channels after being made a contrasting color, instead of adhering labels. Further, each indicator can be placed in its own hole formed by adding walls between each of the indicators along the lengths of the channels 71-76. Of course, fewer or more indicators can be provided in each sensor, depending upon the application. Further, indicators for two or more different temperature ranges, rather than the one range of the sensor of Figures 6-8, may be included in order to extend the life of the test substrate. Such a substrate would first be used to test within its

30



10

15

20

25

30





13

lower temperature range, which would leave the indicators of its higher range untouched, followed by using the same substrate to perform a test within its higher temperature range.

In addition to the peak temperature sensors being described, timeintegral indicators can also be included in each sensor in order to provided two types of thermal information upon removal of the test substrate from the chamber or other environment being tested. A time-temperature integral indicator can be a material that undergoes a thermal diffusion process, a thermal oxidation process, or a polymerization process while in the processing chamber during a test.

Figures 9 and 10 are views of two test wafers having temperature sensors of the type described with respect to Figures 6-8 formed in a common pattern thereacross but with different shapes. Many variations are possible.

Figure 11 shows the visual state of two surface temperature sensors 91 and 93, both as described with respect to Figures 6-8, after a test is completed. The highest melting temperature indicator of the sensor 91 that has melted and thus appears black has a threshold of 128 degrees Celsius, so the peak temperature reached by the sensor 91 is indicated to have been between 128 degrees and the 130 degree threshold of the adjacent indicator that did not melt. Similarly, the indicators of the sensor 93 indicate a peak temperature of between 140 and 142 degrees Celsius.

Although it is currently common for the temperature indicator labels to be visually read after use, this function can also be automated to some extent. This is illustrated in Figure 12, where sensors of the type of Figures 6-8 on one side of a test substrate 95 are viewed by a video camera 97. If there is not enough ambient light, a light source 99 is used to illuminate the surface being viewed. The picture is then processed by a computer which compares the indicator patterns of each of the sensors, which are in a form shown in Figure 11, with a library of all possible patterns. There are 37 such possible patterns for the sensor of Figures 6-8, one less than the number of indicators. The temperature reached by a sensor is thus that of the library pattern which it matches.

(Printed:08-07-2003) WO 01/036916

5

10

15

Cited Doc: WO 0136916A3 IA



14

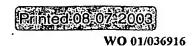
The results of this temperature determination are displayed on a monitor 103, an example video screen display being shown in Figure 13 where the temperature of each sensor is indicated numerically on an image of the test substrate at a location that corresponds to the position of the sensor on the substrate. Alternatively, or in addition, a contour map of a temperature distribution across the test substrate may be calculated by the computer 101 and displayed on the monitor 103, as shown in Figure 14.

Another advantage of using video equipment to read the pattern of the indicator arrays is that the arrays can be made smaller than is convenient for viewing with an unaided eye, without having to use a microscope. And, of course, space need not be provided to mark the temperature threshold values of the indicators within the sensor.

Although the various aspects of the present invention have been described with respect to various embodiments, it will be understood that the invention is entitled to protection within the full scope of the appended claims.

15

DOCID WO 0136916A3 IA



10

5





15

#### IT IS CLAIMED:

A device for measuring at least one parameter, comprising:
 at least one rigid enclosure forming all sides of at least one sealed compartment therein,

a sensor of said at least one parameter encapsulated within the rigid enclosure compartment, said sensor having a characteristic that is related to said at least one parameter in a manner that is detectable by electromagnetic radiation incident thereon, and

said enclosure including at least a region transparent to said electromagnetic radiation through which said changing sensor characteristic is detectable.

- 2. The measuring device of claim 1, wherein said sensor includes a plurality of individual sensor indicators and said enclosure includes a plurality of sealed compartments that individually include at least one of said sensor indicators.
- 3. The measuring device of claim 2, wherein said sensor characteristic is physical, said enclosure includes a substrate and a cover forming said region transparent to said electromagnetic radiation, the plurality of sealed compartments of the enclosure being formed from a respective plurality of cavities in a surface of the substrate and with the cover being firmly attached to the surface of the substrate including being attached to ridges of the substrate between said cavities.
  - 4. The measuring device of claim 3, wherein the electromagnetic radiation to which the said at least one parameter is detectable includes visible radiation, and the changing physical characteristic of the sensor

Cited Doc: WO 0136916A3 IA

Cited in: 02025300 PCT/US00/29968

16

indicators includes a characteristic that is visible upon illumination with said visible radiation.

- 5. The measuring device of claim 4, wherein a space exists between the individual sensor indicators and an inside surface of the cover forming the sealed compartments in which the indicators are positioned.
- 6. The measuring device of claim 5, wherein said plurality of sensor indicators includes indicators individually having one of a plurality of distinct thresholds of said at least one parameter at which the indicator characteristic detectable by the visible radiation abruptly changes.
- 7. The measuring device of claim 6, wherein said at least one parameter is temperature and both of the substrate and cover of the rigid enclosure are made of thermally conducting material.
- 8. The measuring device of claim 7, wherein the visible characteristic of the sensor indicators include their color.
- 9. The measuring device of claim 8, wherein the abrupt change in the characteristic of the individual sensor indicators is non-reversible.
- 10. The measuring device of claim 9, wherein the abrupt change in the characteristic of the individual sensor indicators is a peak temperature to which the indicators are subjected.
- 11. The measuring device of claim 3, wherein said substrate includes a pressure sensitive adhesive on a side thereof opposite to the surface to which the cover is attached.







- 12. The measuring device of claim 3, wherein said substrate is a semiconductor wafer.
- 13. A thermally conductive disk having a plurality of measuring devices according to claim 4 positioned at spaced apart locations across a surface thereof, and wherein said at least one parameter is temperature.
- 14. The disk of claim 13, wherein the substrates of the individual sensors are attached to the disk.
- 15. The disk of claim 13, wherein the disk forms the substrate for each of the plurality of sensors.
- 16. The disk of claim 13, wherein said thermally conductive disk is a semiconductor wafer.
  - 17. A temperature measurement system, comprising: a thermally conductive substrate,
- a plurality of temperature sensors attached to the substrate at spaced apart locations across a surface thereof, said sensors individually including:

a rigid thermally conductive base having a plurality of cavities formed therein and a rigid thermally conductive cover attached to regions of the base surrounding the cavities and to ridges of the base between said cavities in order to provide a plurality of sealed compartments between the base and cover, and

a plurality of indicators positioned within the cavities, said indicators having a physical characteristic that visibly changes as a function of temperature, the covers of the sensors being transparent to such visible radiation.

10

5

Cited Doc: WO 0136916A3 IA

Cited in: 02025300 PCT/US00/29968

18

- 18. The system of claim 17, wherein the bases of said plurality of temperature sensors are areas of the substrate at said spaced locations thereacross.
- 19. The system of claim 17, wherein a space exists between the individual indicators and an inside surface of the cover forming the sealed compartments in which the indicators are positioned.
- 20. The system of claim 17, wherein said plurality of indicators includes indicators individually having one of a plurality of distinct thresholds of said at least one parameter at which the indicator characteristic detectable by the visible radiation abruptly changes.
- 21. The system of claim 20, wherein the physical characteristic of the indicators that visibly changes as a function of temperature includes their color.
- 22. The system of claim 20, wherein the abrupt change in the characteristic of the individual sensor indicators is non-reversible.
- 23. The system of claim 20, wherein the abrupt change in the characteristic of the individual sensor indicators is a peak temperature to which the indicators are subjected.
- 24. The system of claim 20, wherein the plurality of indicators in each of the plurality of temperature sensors are arranged in a common pattern according to their said plurality of distinct thresholds.
- 25. The system of claim 24, which additionally comprises a reader including a video substrate imaging device that compares the visual pattern of each of the plurality of sensors to a library of patterns corresponding to specific



10

5





19

temperature values, and displays an image of the substrate with indications of the temperatures of the plurality of sensors overlying the image of the substrate.

#### 26. A method of measuring temperature, comprising:

positioning at least one sensor within an environment whose temperature is to be measured, wherein the sensor includes a plurality of indicators having a visual characteristic that changes at different threshold temperatures among the plurality of indicators, thereby to provide a different visual pattern of the plurality of indicators for different temperatures of the sensor within a range of temperatures,

imaging the visual pattern formed by the plurality of indicators, comparing within a computing device the imaged pattern with a library of patterns that indicate specific sensor temperatures, and

displaying an indication of the temperature of said at least one sensor according to the specific temperature determined for the imaged pattern by comparison with the library of patterns.

- 27. The method according to claim 26, wherein a plurality of said sensors are spaced apart across a surface, each of the plurality of sensors having its plurality of indicators positioned in a common arrangement according to their different threshold temperatures, and wherein displaying an indication of the temperature of the plurality of sensors includes displaying an image of the surface with temperatures at the locations of the sensors being indicated.
- 28. The method according to claim 27, wherein the visual characteristic of the indicators that changes at different threshold temperatures is non-reversible, the sensors thereby providing a pattern that indicates a peak temperature that the indicator reaches.

5

10

15

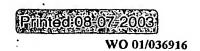
5

Cited Doc: WO 0136916A3 IA

Cited in: 02025306)

20

- 29. The method according to claim 27, wherein the plurality of sensors are spaced apart across a surface of a thermally conductive substrate, and further wherein the substrate is positioned for a time within a processing chamber including a vacuum and a plasma, and then removed to perform the imaging, comparing and displaying.
- 30. A method of testing the temperature distribution imparted by a plasma processing device on a semiconductor wafer being processed therein, comprising:
- providing a test substrate having a plurality of temperature sensors positioned across one surface thereof, wherein each of the plurality of sensors includes a plurality of optical indicators of a plurality of different peak temperatures sealed in at least one compartment of a thermally conductive enclosure having a rigid optically transparent window facing out away from the substrate surface,
- positioning the test substrate with the plurality of sensors within the plasma processing device,
- thereafter operating the plasma processing device in a manner corresponding to its operation when processing semiconductor wafers,
- removing the test substrate from the plasma processing device, and thereafter observing through the windows of the sensors patterns of optical characteristics of the indicators therein, thereby to determine peak temperatures reached by test substrate at the positions of the sensors.
- 31. The method of claim 30, wherein providing the test substrate additionally includes providing the test substrate with said at least one compartment of each of the plurality of sensors being formed by a recess in a surface of the substrate and the transparent window attached to the substrate surface around the recess.







32. The method of either of claims 30 or 31, wherein observing patterns of optical characteristics of the indicators includes imaging the patterns of the sensors and individually comparing those patterns by computer processing with a library of patterns indicating specific temperatures.

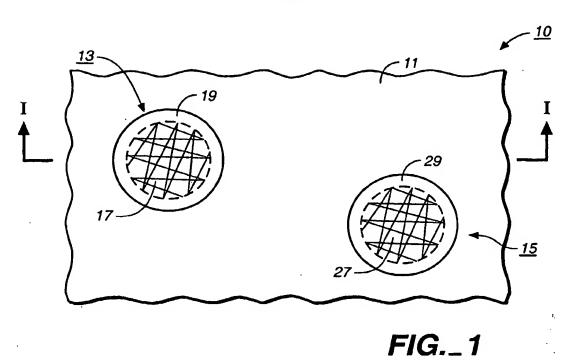


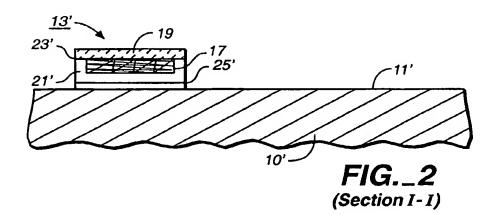
Cited Doc: WO 0136916A3 IA

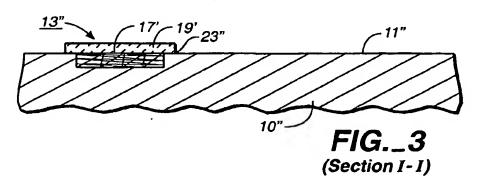
Cited in: 02025300

PCT/US00/29968

1/5



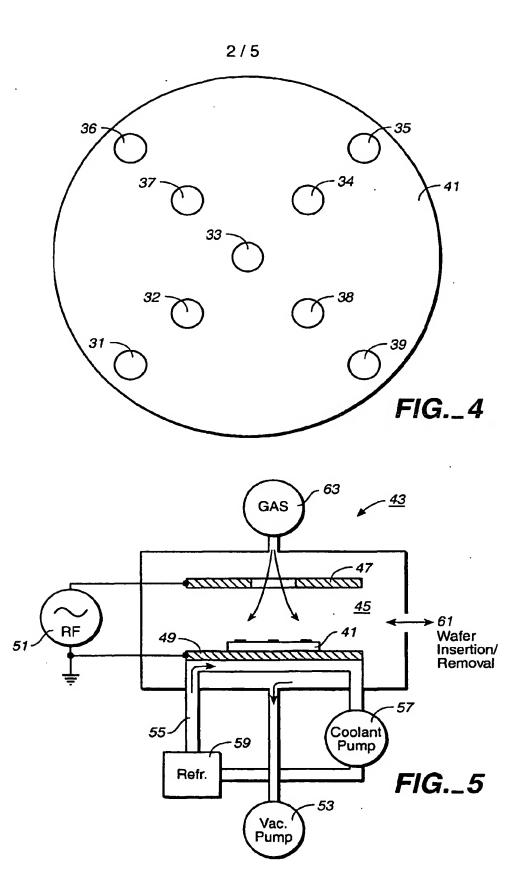


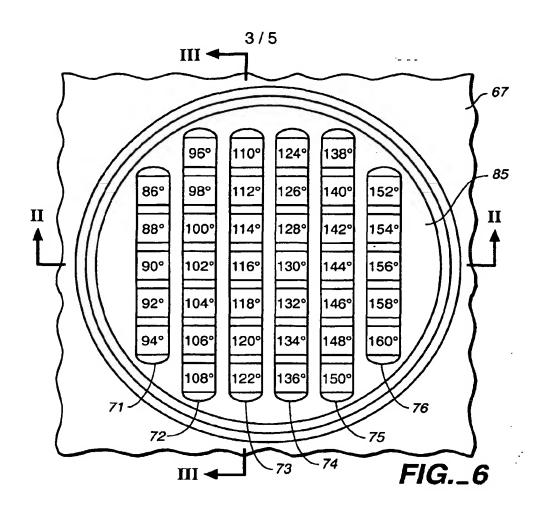


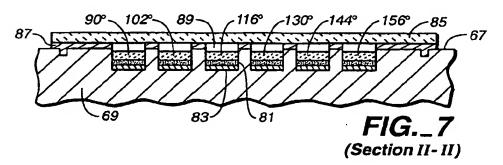


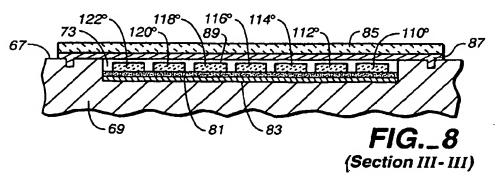








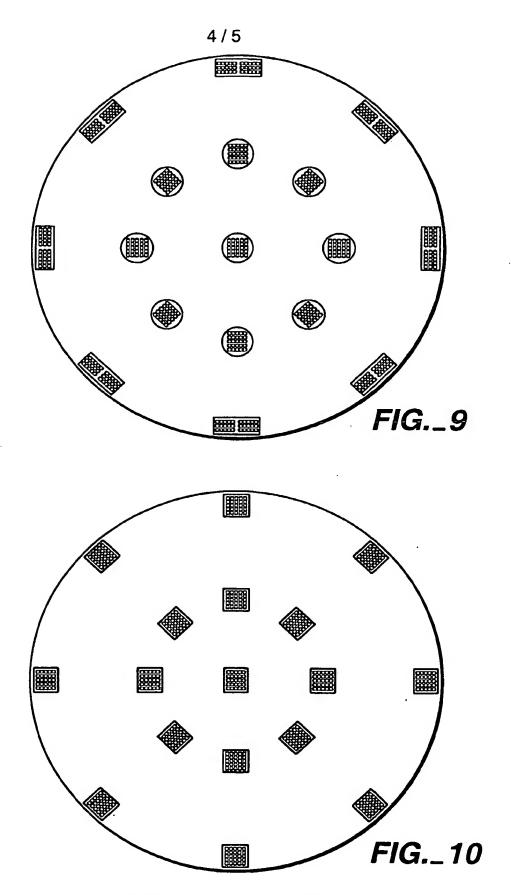




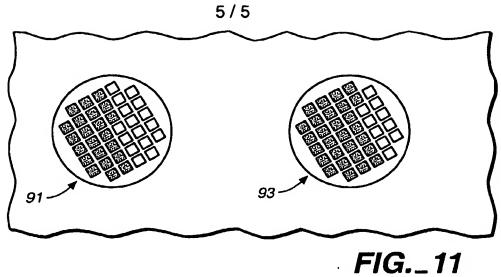


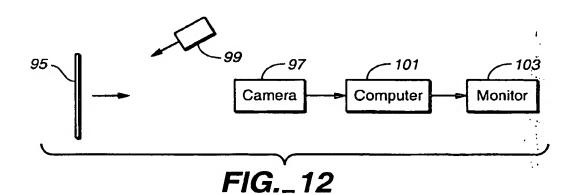






WO 01/036916





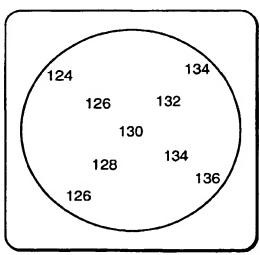


FIG.\_13

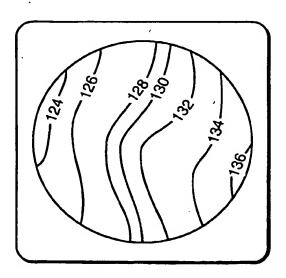


FIG.\_14







International Application No
P US 00/29968

A. CLASSII	FICATION OF SUBJECT MATTER GO1K11/12
IPC /	G01K11/12

According to International Patent Classification (IPC) or to both national classification and IPC

#### 8. FIELDS SEARCHED

 $\label{localization} \begin{tabular}{ll} Minimum documentation searched (classification system followed by classification symbols) \\ IPC 7 & G01K \end{tabular}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

#### EPO-Internal

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	US 4 248 089 A (HEINMETS FERDINAND) 3 February 1981 (1981-02-03) the whole document	1-4
Α		6-8,10
X	DE 27 28 439 A (THEURER PETER) 17 May 1979 (1979-05-17) the whole document	1
Α	the whole document	3,4,8-10
A	PATENT ABSTRACTS OF JAPAN vol. 007, no. 101 (P-194), 28 April 1983 (1983-04-28) -& JP 58 026236 A (HIROAKI KISHI), 16 February 1983 (1983-02-16) abstract	1,4,6,8

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.	
Special categories of cited documents:  A' document defining the general state of the art which is not considered to be of particular relevance  E' earlier document but published on or after the international filling date  L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  O' document referring to an oral disclosure, use, exhibition or other means  P' document published prior to the international filing date but later than the priority date claimed	<ul> <li>"I tater document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul>	
Date of the actual completion of the international search	Date of mailing of the international search report	
7 February 2001	1 1, 05, 01	
Name and mailing address of the ISA	Authorized officer	
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	CHAPPLE I.D.	

Form PCT/ISA/210 (second sheet) (July 1992)

1

Printed:08-07-2003

Cited Doc: WO 0136916A3 IA termational application Cited in: 020253000 PCT/US 00/29968

x 1 Observations wher certain claims were found unsearchable (Continuation of Item 1 of first sheet)						
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following re	asons:					
Ctaims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:						
Claims Nos.:     because they relate to parts of the International Application that do not comply with the prescribed requirements to suc an extent that no meaningful International Search can be carried out, specifically:	th					
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4	·(a).					
B x II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)						
This International Searching Authority found multiple inventions in this International application, as follows:						
see additional sheet						
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.						
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payme of any additional fee.	nt					
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:						
No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  1-16						
Remark on Protest  The additional search fees were accompanied by the applicant's p  No protest accompanied the payment of additional search fees.	rotest.					

Form PCT/ISA/210 (continuation of first sheet (1)) (July 1998)







International Application No. PCT/US 00/29968

# FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-16

A device for measuring at least one parameter

2. Claims: 17-25

A substrate carrying a plurality of temperature sensors, each sensor comprising a plurality of indicators

3. Claims: 26-29

A method for measuring temperature, comprising an imaging system

4. Claims: 30-32

A method for determining the temperature distribution of a semiconductor wafer when placed in a plasma processing device

Printed:08-07-2003

# Cited Doc: WO 0136916A3 IA

Cited in: 02025300

### INTERNATIONAL SEARCH REPORT

formation on patent family members

International Application No PCCUS 00/29968

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4248089	Α	03-02-1981	NONE	
DE 2728439	Α	17-05-1979	NONE	*****
JP 58026236	Α	16-02-1983	NONE	

BNSDOCID- 4MO 013691443 14-